

Case Study



Personnel Security - Privately Owned Finance House

THE CLIENT

The company has a substantial range of products and services including more than 300 mutual funds, discount brokerage services, retirement services, estate planning, wealth management, life insurance, securities execution and clearance. The company was an early adopter of Dependency Modelling and had already used it to create IT Security and Money Laundering and Intruder Detection, models.

THE CHALLENGE

Physical protection of high net-worth individuals and key staff became an issue when a number of unrelated incidents threatened their personal security over a relatively short timeframe. The in-house security professionals needed to produce a well-justified set of recommendations that would be both acceptable to the individuals and highly effective.

DEPENDENCY.COM'S INPUT

Staff had often resisted the seemingly onerous or invasive security measures in the past, so a programme of education and countermeasure-evaluation was implemented: a short series of workshops was held at the client site, attended by senior management and the in-house security team.

These produced Dependency Models that identified weaknesses in the finance house's current security policy and an over abundance of potential countermeasures. Key factors were the acceptability, functionality, intrusiveness and effectiveness of the suggested measures. Prioritised graphs were then produced that allowed the client to trade these key factors against one another to find the most effective and acceptable solutions.

RESULTS ACHIEVED

The company was able to implement an integrated personal protection policy with a far higher buy-in from the users. In addition, considerable savings were achieved by reducing spending on ineffective or redundant systems.

